APPENDIX "A"

736.405 *Certification of agents by companies; licensing by commissioner; fee.* (1) Any company duly authorized and licensed to transact insurance business in this state, and lawfully doing such business herein, in respect thereof may contract with and appoint as its agent or agents in each city, town or village in this state any person or persons who hold or have qualified for an agent's license under the provisions of ORS 736-.405 to 736.425. Appointment shall be made by filing with the commissioner a certificate showing the name and address of the agent and the classes of business he is authorized to transact for the company. The agent shall at all times keep the commissioner informed of his current address. The commissioner, if he finds the agent qualified, thereupon shall issue to such agent a license to act for the company appointing him for the balance of the current year ending March 31 following the date of such appointment. No such license shall be issued to a firm or corporation unless it appears that it has an office in this state managed by an individual who is qualified in accordance with subsection (2) of ORS 736-.427. The firm or corporation shall at all times maintain such an individual as the manager of such office.

(2) In the event of the death, disability or refusal to act of an insurance agent holding a certificate of authority from any insurance company where no other insurance agent in the agency, copartnership, association or corporation is authorized to represent such insurance company,

the company may appoint another person, and the commissioner may issue a license to such other person, enabling him to represent such insurance company, upon an application being made in conformity with ORS 736.415. Such license shall continue only until the licensee is afforded an opportunity of taking the examination provided for in ORS 736.420 but not to exceed a period of six months. Any person to whom is issued such license and who fails to pass the examination shall not be entitled to the return of any fees previously paid.

(3) Every license for each agent representing any company shall be renewed during the month of March in each year for the ensuing year upon proper application to the commissioner by the company appointing him. Renewal of an agent's license may be accomplished by the continuance of the existing license for the ensuing year upon proper application by the appointing company. The fee for issuing or renewing such license shall be as provided in ORS 736.130 and shall be paid to the commissioner.

(4) Any company appointing and licensing an agent as provided in this section may upon proper application to the commissioner and upon the surrender of the license revoke or otherwise terminate the license of any agent appointed by and licensed in behalf of the company. In lieu of surrender of such license, the company may furnish to the commissioner proof that it has made reasonable effort to secure such license and that it has sent to the licensee written notice that application for a termination of his license has been made to the commissioner. Upon

such revocation or termination the agent or any person having possession of said license shall return the same to the commissioner upon demand.

(5) The license issued to an established agency of any company in any city, town or village may be transferred by the commissioner to another qualified agent or agency upon proper application by the company and without exacting further fees.

736.410 *Certification and licensing of solicitors; fee; revocation of license.* (1) Every person duly authorized and licensed as an insurance agent to represent any company or companies as provided in ORS 736.405, may employ as solicitors any individuals who hold, or have qualified for, a solicitor's license under the provisions of ORS 736.410 to 736.425. A certificate showing the name and address of the solicitor employed and the classes of business he is authorized to solicit for such agent shall be filed with the commissioner by such agent at the time of each such employment. The solicitor shall at all times keep the commissioner and his employing agent informed of his current address. The commissioner, if he finds the solicitor qualified, thereupon shall issue to each such solicitor a license to solicit contracts of insurance, solely on behalf of the agent employing him, for the balance of the current year ending March 31 following the date of such employment.

(2) The commissioner shall not issue to the same individual a solicitor's license and an agent's license for the same kind of insurance to be concurrent.

(3) An individual may apply for and the commissioner may issue to the same individual more than one current solicitor's license to solicit any kind of insurance authorized under the laws of this state, but no solicitor shall be licensed to solicit for the same kind of insurance for more than one agent.

(4) Every such license for each such solicitor shall be renewed during the month of March in each year for the ensuing year upon proper application to the commissioner by the agent employing him. Renewal of a solicitor's license may be accomplished by the continuance of the existing license for the ensuing year upon proper application to the commissioner by the employing agent. The fee for issuing or renewing such license shall be as provided in ORS 736.130 and shall be paid to the commissioner.

(5) Any agent employing and licensing a solicitor as provided in the section may, upon proper application to the commissioner and upon the surrender of the license, revoke or otherwise terminate the license of any solicitor employed by him. In lieu of surrender of such license, the agent may furnish to the commissioner proof that it has made reasonable effort to secure such license and that it has sent to the licensee written notice that application for termination of his license has been made to the commissioner. Upon such revocation or termination the solicitor or any person having possession of said license, shall return the same to the commissioner upon demand.

(6) Nothing in ORS 736.410 to 736.425 authorizes any insurance agent to employ solicitors for life insurance.